



9-22-03

RCE 1600

PTO/SB/30 (05-03)

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/712,612
Filing Date	November 13, 2000
First Named Inventor	Hua
Art Unit	1614
Examiner Name	Z. Fay
Attorney Docket Number	90-99

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This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Exhibit A

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 07-1969
- i. ☐ RCE fee required under 37 CFR 1.17(e) 09/24/2003 HBIZUNES 00000095 09712612
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17) 02 FC:2801 375.00
- iii. ☒ Other Any additional fees required
- b. ☒ Check in the amount of \$ 840.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Susan K. Doughty	Registration No. (Attorney / Agent)	43,595
Signature	<i>Susan K. Doughty</i>	Date	September 17, 2003

CERTIFICATE OF MAILING OR TRANSMISSION express

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as ~~first class~~ mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. Express Mail # EL971034903US

Name (Print / Type)	Cathy Nelson	Date	9/17/03
Signature	<i>Cathy Nelson</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/712,612 Confirmation No. 9612
Applicant : Hua
Filed : November 13, 2000
TC/A.U. : 1614
Examiner : Fay, Z.
For : Methods of Treating Cataracts and
Diabetic Retinopathy with Tricyclic Pyrones
Docket No. : 90-99
Customer No. : 23713

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



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9/26/03

**SUBMISSION UNDER 37 C.F.R. 1.114
AND INTERVIEW SUMMARY**

Sir:

This submission accompanies a Request for Continued Examination under 37 C.F.R. 1.114. This submission was originally made in response to the final Office Action mailed March 18, 2003.

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks/Arguments begin on page 17 of this paper.